



Carl Hendy Ltd t/a ASW Scaffolding

NASC Membership Audit Document

Complete

Inspection score 99.04%	Failed items 1	Created actions 0
Company Name Carl Hendy Ltd t/a ASW Scaffolding		
Prepared by Mick Sliney		

Failed items

1 Failed

Sites / Site / Site 1

SG4 Compliance?	Minor Non-compliance
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1. General

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
<input checked="" type="checkbox"/> 1.01 Company Name	
<input checked="" type="checkbox"/> 1.02 Trading Name	
<input checked="" type="checkbox"/> 1.03 Correspondence Address	
<input checked="" type="checkbox"/> 1.04 Telephone	
<input checked="" type="checkbox"/> 1.05 Email	
<input checked="" type="checkbox"/> 1.06 Website	
<input checked="" type="checkbox"/> 1.07 Managing Director's Full Name	
<input checked="" type="checkbox"/> 1.08 Managing Director's Email	
<input checked="" type="checkbox"/> 1.09 Principal contact for NASC matters	
<input checked="" type="checkbox"/> 1.10 Principal contact's email	
1.11 Does the company registration number correspond with the trading or registered name as stated on the Certificate of Incorporation?	Full Compliance
<input checked="" type="checkbox"/> 1.12 Date of company registration	
<input checked="" type="checkbox"/> 1.13 Registered Address	
<input checked="" type="checkbox"/> 1.14 Full names of the company's officers (e.g. company directors/ partners/ proprietor)	
<input checked="" type="checkbox"/> 1.15 Have any of the company officers changed within the last 5 years	
1.16 Are any current officers of the company (and/or persons with a financial interest) disqualified from acting as a company director?	No
1.17 Does the company have any subsidiary companies	No
1.18 Does the company have a parent company?	No

1.19 Does the company have more than one operating branch/ location?	No
<input checked="" type="checkbox"/> 1.20 Please give details of any other construction related activities (if any)	
<input checked="" type="checkbox"/> 1.21 UTR number	
<input checked="" type="checkbox"/> 1.22 VAT number and certificate	
1.23 Is the company CITB Registered?	Full Compliance

2. Financial

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.

2.01 Has the company provided full company accounts for these last two completed financial years as prepared and signed by the company accountants / auditors? In the case of a multi-disciplined company please ensure scaffolding related turnover as confirmed by your company accountants/ auditors has been provided.

Full compliance

2.02 Check if any changes in shareholders and Directors has occurred since the last accounting period.

3. Insurance

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
3.01a Does the company have Employer's Liability Cover (minimum £10m)?	Full Compliance
3.01b Does the company have Public Liability Cover (minimum £5m)?	Full Compliance
3.01c Does the company have an Insurance Letter confirming the above cover and date, and showing insurance broker's name, FCA registration number, address and telephone number. Are they also able to provide evidence of proof of payment?	Full compliance

4. Employment

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.

Employment

4.01 Is Holiday Pay entitlement in line with CIJC Working Rule Agreement (as of 1 August 2016) i.e. 30 days?	Full compliance
4.02 Is Holiday Pay amount in line with CIJC Working Rule Agreement (as of 1 August 2016) i.e. based on the average of the previous 12 weeks wages?	Full compliance

4.03 Employee Ratios

A. Total number of Office only based staff (Directors/Management/Admin) 3
B. Total number of Drivers and Yard only operatives 0
C. Total number of qualified operatives (CISRS Scaffolders, CISRS Advanced Scaffolders)? 7
D. Total number of part/unqualified operatives (CISRS COTS, CISRS Labourers, CISRS Part 1 & Part 2 Trainees) 5

Has the company met the 90% CISRS carded minimum membership requirement over the previous 12 months?	Full compliance
Has the company met the 50% qualified workforce minimum membership requirement over the previous 12 months?	Full compliance
4.04 Has the company provided a copy of the previous WEEK's full payment report submitted to HMRC showing NI and Tax deductions?	Full compliance
4.05 Has the company provided a copy of the previous YEAR's full payment report submitted to HMRC showing NI and Tax deductions?	Full compliance
4.06 Has the company provided the last 12 months CIS 300 Monthly Returns?	Yes

4.07 Has the company provided all invoices from Labour only agencies for the previous 12 months?	N/A
4.08 Has the company provided all invoices from third parties which the company paid for scaffolding labour over the previous 12 months?	N/A
Has the company evidenced that it has met the 75% direct employment minimum requirement over the previous 12 months?	Full compliance
4.09 Does the company have a contract of employment for operatives?	Full compliance
4.10 Are all operatives (PAYE or Sub-Contract) supervised by staff directly employed by the company?	Yes
4.11 Has the company provided terms and conditions when hiring subcontractors and evidence of sufficient insurance for subcontractors?	N/A
4.12 Are all operatives employed in accordance with the CIJC Working Rule Agreement, or equivalent?	Full compliance
4.13 Does the company use external employment agencies?	No
4.14 Does the company comply with the Equality Act 2010?	Full compliance
4.15 Has the company provided a Drugs, Alcohol and Substance Policy?	Full compliance
4.16 Does the company comply with the Anti Slavery Act 2015?	Full compliance
4.17 Does the company comply with the Anti Bribery Act 2010?	Full compliance

5. Training

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
5.01a Is the CISRS training matrix supplied compliant with NASC Criteria?	Full compliance
5.01b Do the operatives listed in the training matrix correlate with the names submitted in the previous week's payment report (see Section 4.04)?	Full compliance
Total number of Operatives (Labourers/Trainees/Scaffolders) 12	
Total % of CISRS cards held: 84%	
Total % of qualified operatives (i.e. Blue and Gold CISRS cards) 68%	
5.02 Does the company have a training plan showing past training and future training?	Full compliance
5.03 Does the company give induction training for new employees?	Full compliance
5.04 Has the company provided training in SG4, SG6 and TG20?	Full compliance
5.05 Has the company provided evidence of System Scaffolding Product training (if applicable)?	N/A
5.06 Has the company provided evidence that all management and employees with supervisory responsibilities are trained and kept up to date with all NASC safety and technical guidance issued?	Full compliance
5.07 Has the company provided evidence of routine and effective supervision / monitoring of site operatives?	Full compliance
5.08 Has the company provided details operatives who have attended CPD courses in the last 12 months?	Full compliance
5.09 Does the company fully comply with NASC statement on CPD?	Full compliance

6. Health & Safety

100.00%

For any non-compliance please add notes, images and actions.	
6.01 Has the company provided a copy of a VALID certificate from either a construction-related H&S scheme registered under SSIP (i.e. NASC SSIP Assessment, CHAS, Acclaim etc), or a BS OHSAS 18001 Health & Safety Management standard?	Full Compliance
Please confirm which certificate:	Other H&S scheme recognised by SSIP (CHAS, SMAS etc)
6.02i Does the company have a Safety Harness/Lanyard examination procedure including for failed harness/lanyard disposal process?	Full compliance
6.02ii Does the company have a recently completed Safety Harness/Lanyard issue document?	Full compliance
6.02iii Does the company have an example of completed Safety Harness/Lanyard inspection record?	Full compliance
6.02vi Does the company have sufficient training certificate for Safety Harness/Lanyard inspection?	Full compliance
6.03 Does the company have procedures for formulating a Rescue Plan for work at height?	Full compliance
6.04 Does the company have a completed PPE Issue record?	Full Compliance
6.05 Does the company have a calibration certificate for their pull testing equipment?	Full compliance
6.06 Has the company provided evidence of tie testing for 2 recent contracts to confirm that the company carry out testing of anchor ties in accordance with NASC Guidance TG4?	Full compliance
6.07 Does the company hold a HSE Asbestos Ancillary Licence?	No
<input checked="" type="checkbox"/> 6.08 Check if the company received any HSE Convictions, Prohibition Notices, Improvement Notices and/or other letter of concern which have been served on the company during the past 5 years.	

7. Product

Please record Yes or No to the following questions.	
7.01 Does the company purchase all new EN 39:2001 Tube (4.0mm) in compliance with NASC guidance PG1.18?	Yes
7.02 Does the company purchase all new EN 10219-1:2006 High Tensile Tube (3.2mm) in compliance with NASC guidance PG2.18?	Yes
7.03 Does the company purchase all new EN 74-1:2005 Couplers in compliance with NASC guidance PG3.18?	Yes
7.04 Does the company purchase all new EN 74-1:2005 Couplers in compliance with NASC guidance PG4.18?	Yes
7.05 Does the company purchase all new aluminium beams in compliance with NASC guidance PG5.18?	Yes
7.06 Does the company purchase all new EN 12810/11 System Scaffold in compliance with NASC guidance PG6.18?	Yes

8. Scaffolding Design

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
8.01 Does the company hold a UKAS accredited independent third party certificate of compliance with ISO9001 Quality Management Systems that includes for design?	No
8.02 Which of the following scaffold design options is applicable to this company:	<input type="checkbox"/> Undertakes TG20 scaffold erection <input type="checkbox"/> Outsources non-TG20 Scaffold Design
8.03 Does the company have in-house facilities to cover works that fall under the scope of BS EN 12811 Part 2 (Design)? If so the company should provide a CV for the designer and a valid Professional Indemnity Insurance certificate.	N/A
8.04 Does the company use external designers for non-TG20 designs? If so the company should have CV/Practice Profile and a valid Professional Indemnity Insurance certificate.	Full compliance
8.05 Has the company provided an adequate process for works covered by BS EN 12811 Part 2 (i.e. working outside the scope of TG20)?	Full compliance
8.06 Has the company demonstrated how it ensures scaffolds are built in accordance with BS EN 12811 Parts 1 & 2 (i.e. working to TG20 or erecting to a design with calculations)?	Full compliance

9. Security

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
9.01 Has the company indicated the colour of paint used by the company to identify scaffolding plant and equipment? (It should include BS I.D. and RAL numbers where applicable.)	Full compliance
9.02 Has the company indicated any additional measures taken to identify scaffolding plant and equipment including tube, fittings and boards?	Full compliance

10. Motor Vehicle Fleet

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
10.01 Has the company provided a valid motor vehicle insurance certificate and a current schedule of all company vehicles covered by the policy?	Full compliance
10.02 Has the company provided a copy of their Goods Vehicle Operators Licence including current schedule of vehicles covered which correlates with the above insurance policy schedule and details of operating centres?	Full compliance

11. Environment

100.00%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.	
11.01 Has the company evidenced that the timber board suppliers are registered under the Sustainable Forestry Scheme?	Full compliance
11.02 Has the company provided a copy of their Environmental Policy?	Full compliance
11.03 Has the company demonstrated how it disposes of equipment which is no longer serviceable or is surplus to requirements?	Full compliance
11.04 Has the company given details of scrap metal merchant including their name, address, telephone number and waste licence number? (Dealers must be checked on the Environment Agency Public Register.)	Full compliance
11.05 Does the company hold a UKAS accredited independent third party certificate of compliance with ISO14001 Environmental Management Systems?	N/A

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.

Health & Safety

Is the Health & Safety poster on immediate and prominent display and correctly filled in and up to date?	Full compliance
Is the EL & PL Insurance Certificate prominently displayed and current?	Full compliance
Are fire routes clearly identified and clear?	Full compliance
Do they lead to a well designated assembly point?	Full compliance
Is the assembly point clear of obstruction and out of harm's way?	Full compliance
Are there suitable and sufficient pedestrian walkways?	Full compliance
Are these walkways delineated and free from obstruction?	Full compliance
Can the fire escape route signs be viewed from all areas in the yard?	Full compliance
Is there a suitable and sufficient first aid room?	Full compliance
Is there a suitable and sufficient method of raising an alarm?	Full compliance
Is there suitable and sufficient fire fighting equipment? Is it to hand?	Full compliance

Storage

Are the storage systems for tube adequate and safely constructed?	Full compliance
Are the storage systems for boards adequate and safely constructed/stacked? Are they sized in different piles?	Full compliance
Are the storage systems for tube stress free with easy access?	Full compliance
Are the storage systems for tube hand railed on the top?	Full compliance

Is there safe access to the top of the storage system for tube?	Full compliance
Are the storage areas for ladders and accessories suitable and sufficient?	Full compliance
Are the storage areas for loading bay gates and arms suitable and sufficient?	Full compliance
Are the storage systems for beams, propriety system scaffolds etc suitable and sufficient?	Full compliance
Is the storage area for the fittings suitable and sufficient?	Full compliance
Is the depot generally free from trip hazards? Is the depot generally clean and tidy?	Full compliance

Security

Is there evidence of unmarked/other tube in the storage system?	Full compliance
Is there any evidence of unmarked/other fittings?	Full compliance
Is there evidence of unmarked/other boards?	Full compliance

Servicing / Repair

Does the company have anti contamination measures in place?	Full compliance
Are the quarantine measures suitable and sufficient?	Full compliance
Is there an area for servicing fittings?	Full compliance
How are their procedures for servicing work?	Full compliance
Do they need/have COSHH assessments?	Full compliance
Is there an area for bent/damaged tube?	Full compliance
Is this area suitably quarantined so as to prevent accidental use?	Full compliance
What happens to tube damaged beyond repair?	Full compliance

Is there an area for damaged/returned boards?	Full compliance
What happens to fittings which are damaged beyond economical repair?	Full compliance
Is this area suitably quarantined so as to prevent accidental use?	Full compliance
What happens to boards damaged/worn out beyond economical repair?	Full compliance

General

Is there fuelling facilities in the yard? How is this area segregated?	Full compliance
Is there a vehicle workshop in the yard?	N/A
Is there suitable and sufficient signage in this area?	N/A
Are ramps and/or lifts protected from accidental intrusion?	N/A
Is there suitable and sufficient lighting, especially during winter months?	N/A
Is there a workshop/tool store? Does it house grinding or cutting machines?	Full compliance
Are all temporary or makeshift structures designed for their purpose?	Full compliance
Is there suitable and sufficient signage regarding all machines?	Full compliance

Sites


1 Failed 93.33%

For any Minor Non-compliance or Non-compliance, please add notes, images and actions.
Click add to start the audit on the site.

Site

Site 1

1 Failed

Name of Main Contractor: Hai Tarian	
Nature of Works: Roofing Work	
Address: RESOLVEN	
Please upload site images with supporting comments here:	
– Photos  Photo 1	
SG4 Compliance?	Minor Non-compliance
SG6 Compliance?	Full compliance
TG20 Compliance?	Full compliance
Has the scaffold been erected to the Design?	N/A
RAMS available on site?	Full compliance
Overall quality of Scaffolding?	Full compliance

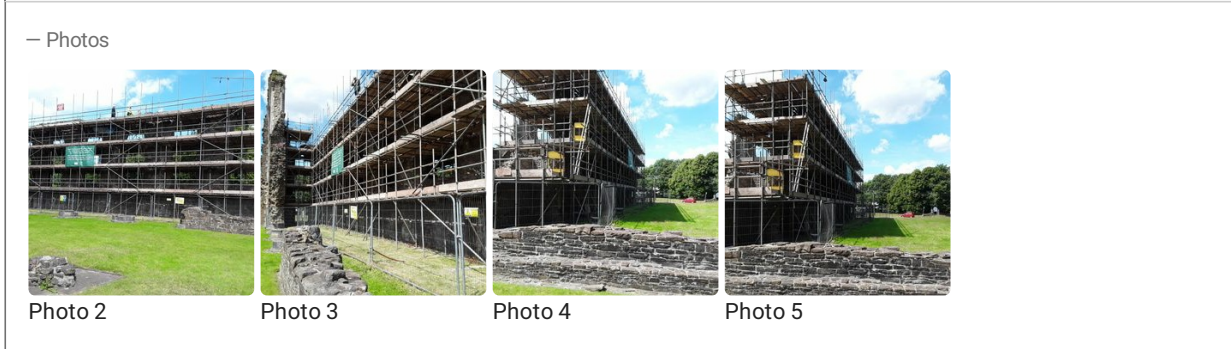
Site 2

Name of Main Contractor:
Cadw

Nature of Works:
STONE Repairs

Address:
Neath Abby Monastery

Please upload site images with supporting comments here:



SG4 Compliance?	Full compliance
SG6 Compliance?	Full compliance
TG20 Compliance?	Full compliance
Has the scaffold been erected to the Design?	N/A
RAMS available on site?	Full compliance
Overall quality of Scaffolding?	Full compliance

Site 3

Name of Main Contractor:
Hai Tarian

Nature of Works:
Re roofing

Address:
13 Aelyfro PONTARDAWE

Please upload site images with supporting comments here:

– Photos



Photo 6

SG4 Compliance?	Full compliance
SG6 Compliance?	Full compliance
TG20 Compliance?	Full compliance
Has the scaffold been erected to the Design?	N/A
RAMS available on site?	Full compliance
Overall quality of Scaffolding?	Full compliance

Signature

Auditors Signature:

A handwritten signature in black ink, appearing to read "Mick Sliney", enclosed within a rounded rectangular border.

Mick Sliney

2nd Jul, 2019 5:07 PM BST

Photos

6 Photos



Photo 1



Photo 2



Photo 3



Photo 4



Photo 5



Photo 6